Attorney Docket No. 00295C/LH

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Matsuo, D.

Con. of

Serial No. : 09/559,860

Filed : Herewith

For : OPTICAL PICKUP

Art Unit : Not Yet Assigned

Examiner : Not Yet Assigned

Customer No.: 01933

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Express Mail Mailing Label No.: EL 978886928 US

Date of Deposit: October 17, 2003

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CPR 1.10 on the date indicated above and is addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231

N - Sarades

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the

consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account

No. 06-1378.

### INFORMATION DISCLOSURE STATEMENT

### SIR:

Submitted herewith are Forms PTO/SB/08A and PTO/SB/08B listing the particulars of the documents cited by applicant in parent application Serial No. 09/559,860 and which were made "of record" in said parent application.

Copies of the publications listed on the attached Forms PTO/SB/08A and PTO/SB/08B are not supplied herewith. As per 37 CFR 1.98(d), which was effective March 16, 1992, copies of publications listed in an Information Disclosure Statement are not required to be provided if the information was cited or submitted in a prior application. The present application

properly identifies prior parent application Serial
No. 09/559,860, filed April 27, 2000 and relies upon said prior
parent application for an earlier filing date under 35 USC 120.

It is respectfully requested that the Examiner consider the publications identified on the attached Forms PTO/SB/08A and PTO/SB/08B submitted herewith and make them of record, as in the parent application.

It is further requested that the Examiner return initialed copies of the attached Forms PTO/SB/08A and PTO/SB/08B to confirm that the publications listed therein have been made of record.

The references are all relevant since they are "of record" in the parent application of which the present application is a Continuation.

If the Examiner has any comments, questions, objections or recommendations, the Examiner is invited to telephone the undersigned at the telephone number given below for prompt action.

Respectfully submitted,

October 17, 2003

Douglas Holtz Reg. No. 23,902

Frishauf, Holtz, Goodman, & Chick, P.C. 767 Third Avenue - 25th Floor New York, New York 10017-2023 Telephone (212) 319-4900 Fax No. (212) 319-5101 DH/nps

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N. Sonerdes

Nalini P. Sahadeo

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## REMARKS REGARDING CLAIMS

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SIR:

The present application is a continuation application of U.S. Application Serial No. 09/559,860, filed April 27, 2000.

Claim 1 essentially corresponds to the combination of claims 1 and 9 presented in the parent application.

Claim 2 corresponds to originally filed claim 8 of the parent application.

Claims 3 and 4 correspond respectively to claims 10 and 12 as presented in the parent application.

And claim 5 corresponds to originally filed claim 11 of the parent application.

If the Examiner has any comments, questions, objections or recommendations, the Examiner is invited to telephone the undersigned at the telephone number given below for prompt action.

Respectfully submitted,

Douglas Holtz Reg. No. 33,902

Frishauf, Holtz, Goodman & Chick, P.C. 767 Third Avenue - 25th Floor New York, New York 10017-2023 Tel. No. (212) 319-4900 Fax No. (212) 319-5101

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Substitute for Form 1449A/PTO					Applica	tion Number	No	Not Yet Assigned			
					Filing	Date	Cc	Concurrently Herewith			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					First N	amed Inventor	Ma	Matsuo, D.			
					Group A	rt Unit	No	Not Yet Assigned			
					Examine	r Name	No	Not Yet Assigned			
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				U	.S. PATI	ENT DOCUMENTS	1 00				
Exam. Inits*	Cite No <sup>1</sup> Document Number				Kind Code <sup>2</sup>	Name of Patentee Applicant	or	Publication Date MM-DD-YYYY	Relevan Portion		
	A	5,12	5,750		A	CORLE et al.		06-30-1992	<del>                                     </del>		
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Exam Inits'	Cite No <sup>1</sup>	Offc <sup>3</sup>	Documer	nt Number4	Kind Code <sup>5</sup>	Name of Patentee Applicant	or	Publication Date MM-DD-YYYY	Relevant Portion	T6	
	к	JР	255327	15	B2			08-22-1996			
	L	JР	8-3154	104	A			11-29-1996			
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Signature

EXAMINER: Initial if reference considered, whether or not citation is no conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE MAILED: October 17, 2003

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>5</sup> Place a check here if English translation is attached.

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Substitute for Form 1449A/PTO					Application	Number	Not Yet Assigned				
					Filing Date		Concurrently Herewith				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					First Named Inventor		Matsuo, D.				
					Group Art Unit Not Yet Assigned		Not Yet Assigned				
					Examiner Nam	e	Not Yet Assigned				
Sheet	2	of 2		Attorney I	Docket Number	00295C					
OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS											
Examiner Initials <sup>1</sup>	Cite No.1							T²			
	М	NIKKE 1997.		ECTRONICS,	PAGES 99-1	05M BI, 691, P	UBLISHED ON June 16,				
Examiner Signature						Date Considered	Maria Maria de Caración de Car				

DATE MAILED: October 17, 2003

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

 $<sup>^{1}</sup>$  Unique citation designation number.  $^{2}$  Place a check here if English translation is attached.